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| FORM PTO-1449 LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Us s veral sh ts if nec ssary) | ATTY. DOCKET NO. 149 | SERIAL NO. Not yet assigned |
| | APPLICANT: Nielsen, James T.; et. al. | |
| | FILING DATE: December 31, 2003 | GROUP: Not yet assigned |

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| EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant | |

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANTS
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
149SERIAL NO.
10/750,712APPLICANT:
Nielsen, James T. et al.FILING DATE:
12/31/2003GROUP:
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| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATION YES NO |
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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| Application Number | 10/750,712 |
| Filing Date | December 31, 2003 |
| First Named Inventor | Nielsen, James T. |
| Group Art Unit | 3721 |
| Examiner Name | Unknown |

Sheet 1 of 1

Attorney Docket No: 149

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M. Tom Anderson

DATE CONSIDERED

7/16/2006

Substitute Disclosure Statement Form (PTO-1449)

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SERIAL NO.
N/A

FILING DATE:
12/31/03

GROUP:
N/A

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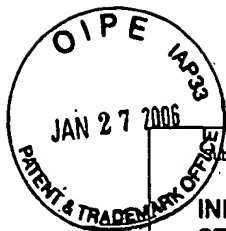
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| | | | | Application Number | 10/750,712 |
| | | | | Filing Date | December 31, 2003 |
| | | | | First Named Inventor | Nielsen, James |
| | | | | Art Unit | 3731 |
| | | | | Examiner Name | Unknown |
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EXAMINER

M. Tom Andersen

DATE CONSIDERED

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number 10/750,712**Filing Date** December 31, 2003**First Named Inventor** Nielsen, James T.**Group Art Unit** 3721**Examiner Name** Unknown

Sheet 2 of 2

Attorney Docket No: 149**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|-----------------------|--------------------------|---|----------------|
| MTA | | "510(k) Notification For the Cardica C-Port Anastomosis System", Section 9, "Substantial Equivalence," and Appendices B, C, E (Unpublished) | |
| | | | |

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